

11/20/97



JCS45 U.S. PTO

 ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231
Case Docket No. GLT 1598 PUS (P-1740)Date: November 20, 1997

Sir:

Transmitted herewith for filing is the patent application of

Inventors: David B. Nitschke, Eustace Harold Mumford, Dean M. Nitschke

For: APPARATUS AND METHOD FOR FORMING HEATED GLASS SHEETS

Enclosed are:

- ☒ 15 sheets of formal drawings.
- ☒ An assignment of the invention to Glasstech, Inc.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ An associate power of attorney.
- ☒ A Declaration for Patent Application pursuant to C.F.R. 1.63 and 1.68.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ PTO Form 1449 with copies of patents cited in specification.

The filing fee has been calculated as shown below:

For:	(Col.1) No. Filed	(Col.2) No. Extra	Small Entity Rate	Fee	Other Than A Small Entity Rate	Fee
Basic Fee				\$395		\$790
Total Claims	21-20	= 1	x11 =	\$ 11	x22 =	
Indep. Claims	3- 3	=	x41 =		x82 =	
Multiple Dependent Claim Presented			x135 =		x270 =	
Assignment Recordal Fee				\$ 40		\$
			TOTAL \$	446.00	TOTAL \$	

- ☐ Please charge my Deposit Account No. 02-3978 in the amount of \$ \_\_\_\_\_.
- ☐ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$446.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-3978. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

DAVID B. NITSCHKE, ET AL.

BROOKS &amp; KUSHMAN P.C.

*James A. Kushman*  
 James A. Kushman  
 Registration No. 25,634  
 Attorney of Record  
 1000 Town Center  
 Twenty-Second Floor  
 Southfield, Michigan 48075  
 (248) 358-4400

 "Express Mail" mailing label No. E1407990186US  
 Date of Deposit: November 20, 1997

 I hereby certify that this paper or fee is  
 being deposited with the United States Postal  
 Service "Express Mail Post Office to  
 Addressee" service under 37 C.F.R. 1.10 on  
 the date indicated above and is addressed to  
 the Commissioner of Patents and Trademarks,  
 Washington, D.C. 20231.

*Sherry Kelly*  
 SHERRY KELLY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: David B. Nitschke, Eustace Harold Mumford, and Dean M. Nitschke

Serial or Patent No.: Unknown

Filed or Issued: Filed Herewith Attorney Docket No. GLT 1598 PUS (P-1740)

For: APPARATUS AND METHOD FOR FORMING HEATED GLASS SHEETS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Glasstech, Inc.

Address of Concern: 995 Fourth Street, Ampoint Industrial Park, Perrysburg, OH 43552

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: APPARATUS AND METHOD FOR FORMING HEATED GLASS SHEETS

by inventor(s) David B. Nitschke, Eustace Harold Mumford, and Dean M. Nitschke  
described in

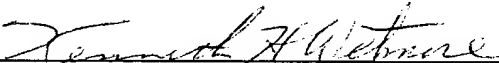
- ☒ the specification filed herewith  
☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

The rights held by the above-identified small business concern are exclusive.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name and Title of Person Signing Kenneth H. Wetmore, Vice President  
Address of Person Signing Glasstech, Inc., 995 Fourth Street, Ampoint Industrial Park,  
Perrysburg, Ohio 43552

Signature  Date November 19, 1997

70204 U.S. PTO

08/975267



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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/22/1998 GBUCKETT 00000053 08975267  
01 FC:201 395.00 OP  
02 FC:203 11.00 OP